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Prof. Dr. Paulo Sérgio Barbosa dos Santos; Prof. Dr. Ricardo Alan Verdú Ramos; Me.

Eng. Marcelo Caldato Fiomari; Prof. Dr. Emanuel Rocha Woiski; Dra Enga Thaisa Calvo

Fugineri Moreti

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Prof. Dr. Paulo Sérgio Barbosa dos Santos, Prof. Dr. Ricardo Alan Verdú Ramos, Me. Eng. Marcelo Caldato Fiomari, Prof. Dr. Emanuel Rocha Woiski, Dr<sup>a</sup> Eng<sup>a</sup> Thaisa Calvo Fugineri Moreti UNESP / Câmpus de Ilha Solteira - SP

#### **Abstract**

In this work a thermodynamic analysis for a condensation-extraction steam turbine capable of driving a 40 MVA electric generator in a sugar-alcohol factory was carried out. Sensibility analyses were performed to evaluate the behavior of the overall energy efficiency of a plant with the condensation-extraction steam turbine in function of the boiler efficiency, the specific consumption of steam in the processes as well as the condensation rate in the turbine. The analysis results have shown that this turbine in the cogeneration system contribute to increasing the power generation, although the condensation reduces the overall efficiency of the plant. It has also been observed that the plant efficiency is very sensitive to the condensation rate variation and increases with the demand for steam in the processes.

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# 1. Introduction and Objectives

Brazilian sugar-alcohol factories had been deploying low-efficiency single-stage back-pressure steam turbines <sup>[1]</sup> as well as low pressure and low temperature boilers (below 2,200 kPa and 300 °C, respectively). There was no special concern towards obtaining high energy production efficiencies since the demand for mechanical driving and processes steam, as well as their own electricity consumption, was entirely met <sup>[2]</sup>.

The brazilian electric system is much dependent on hydroelectricity. However, as a consequence of the seasonal water crises facing the country, the energy cogeneration system is a viable option and for this reason the sugar and alcohol sector has gained a lot of prominence because in addition to the primary productions of this sector, ethanol and sugar, beyond the electricity production <sup>[3]</sup>. Learning the hard way from such crisis, governmental incentives emerged with the creation of a Brazilian program for electricity generation from alternative sources (PROINFA), including biomass <sup>[4,5]</sup>.

Facing the prospects of an expanding market for electrical energy, several Brazilian sugar cane industries have resolved to improve the efficiency of their equipment and processes by taking measures such as decreasing the process of steam consumption biomass <sup>[6]</sup>; substituting the steam driven mills by electric ones; employing more efficient boilers as well as condensation-extraction steam turbines <sup>[1]</sup>. The latter in particular allows for much more flexibility to satisfy the ever-changing relationship between thermal and electrical energy production in the plant <sup>[7]</sup>.

Although at first the proposed floor price for electricity sale established by the PROINFA has

disappointed investors, they have taken to heart the opportunity offered by PROINFA conditions to refurbish their more than 20 year-old plants. An actual example is Pioneiros Bioenergia, a mid-size sugar-alcohol factory located in the Northwest of São Paulo State, which has expanded its cogeneration system with an eager eye to electricity commercialization through PROINFA.

The present paper is part of a complete work in which the expansion process of the cogeneration system of the previously mentioned sugar-alcohol factory was studied. In this work a thermodynamic analysis of the condensation-extraction steam turbine selected for use in Pioneiros Bioenergia was performed, by analyzing the behavior of the plant global efficiency and power production, considering some relevant parameters such as the boiler efficiency, the specific steam consumption in the processes and the condensation rate in the turbine.

#### 2. Material and Methods

### 2.1 Details of the Plant and Turbine

The Figure 1 depicts a very schematic view of the condensation-extraction steam turbine which drives a 40 MVA electric generator, with nominal production capacity of 32 MW of electric power. This turbine has been designed to operate with admission of 140 t/h of steam at 6,468 kPa and 530 °C.

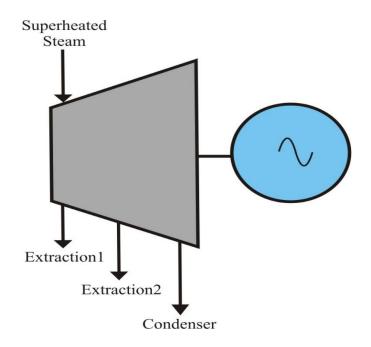


Figure 1. Scheme of condensation-extraction steam turbine

Manufacturer data sheet is shown in Table 1 for minimum and maximum mass flow rate, temperature and pressure values in the points of admission, extraction and condensation, keeping in mind that extraction 1 valve must necessarily be fully closed or open [8].

Despite the constant changes implemented to improve performance, Figure 2 shows the simplified thermal power plant of the Pioneiros Bioenergia that operated until recently. In this plant all the mechanical equipment are driven by electric motors, with the demanded electricity supplied by the generators GA and

GT. The condensation-extraction steam turbine ST A drives the generator GA, while generator GT is driven by a back-pressure steam turbine ST T working at 2,156 kPa and 330 °C.

Table 1. Steam turbine .						
Local	ṁ	(t/h)	P (kPa)		T (°C)	
Local	min	max	min	max	min	max
Admission	-	140	-	6,600	-	530.0
Extraction 1	0	36	2,650	3,020	425.0	438.0
Extraction 2	64	120	2,370	237	134.5	147.2
Condensation	8	40	5	11.5	34.6	48.6

Table 1. Steam turbine [4].

Data sheet for the condensation- extraction.

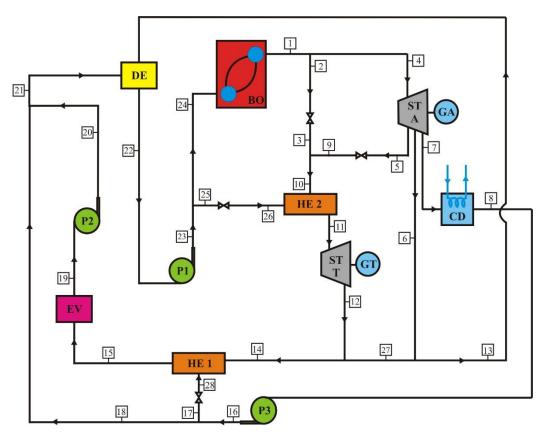


Figure 2. Simplified thermal plant of Pioneiros Bioenergia.

In the heat exchangers HE1 and HE2 the steam temperatures are brought down to the design-established levels by means of water injection. Through HE1 the steam temperature is reduced to 135 °C, suitable for the industrial process input (point 15), while in HE2 the steam temperature is reduced to 330 °C, adequate for the ST T turbine input (point 11).

Most of the steam extracted (point 15) is used for the broth evaporation process EV, through which steam is condensed and pumped (point 20) to the deaerator DE, which also receives the steam from extraction (point 18) and condensation (point 13). After preheating in the deaerator, the water is pumped back (point 24) to the boiler BO, beginning the cycle all over again.

Condensation flow rate in the turbine within the range established in Table 1 depends on the steam consumption process. Therefore, according to the process needs, different operating points are possible and, consequently, several distinct thermodynamic performances. Table 2 illustrates recent operational data for the plant.

Table 2. Operational data of Pioneiros Bioenergia thermal plant

Operational Data	Value
Sugar cane consumption	1,400,000 t
Hours of operation in the year	4,914 h
Sugar cane flow rate in the mills	284.9 t/h
Bagasse consumption-Steam production Ratio	0.47
Fiber in the sugar cane	13.5 %
Fiber in the bagasse	47.4 %
Bagasse flow rate in the boiler	70.5 t/h
Bagasse flow rate consumption	70.5 t/h
Bagasse flow rate production	81.1 t/h
Bagasse flow rate surplus	10.6 t/h
Annual bagasse surplus	52,297 t

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Table 3 lists the mass flow rate, pressure and temperature in the numbered locations of Figure 2. Notice that the extraction 1 valve of the turbine ST A is kept closed, justifying the null mass flow rate in points 5 and 9.

Table 3. Mass flow rate, pressure and temperature at the numbered points of the plant in Fig. 2.

Points	m (t/h)	P (kPa)	T (°C)
1	150.0	6,468.0	530.0
2	10.0	6,468.0	530.0
3	10.0	2,156.0	510.2
4	140.0	6,468.0	530.0
5	0.0	-	-
6	120.0	245.0	134.5
7	20.0	7.2	39.6
8	20.0	7.2	39.5
9	0.0	-	-
10	10.0	2,156.0	510.2
11	11.5	2,156.0	330.0
12	11.5	245.0	164.5
13	3.5	245.0	134.5
14	128.0	245.0	137.2
15	128.2	245.0	135.0
16	20.0	490.0	39.6
17	0.2	490.0	39.6

18	19.8	490.0	39.6
19	128.2	245.0	100.0
20	128.2	490.0	100.1
21	148.0	490.0	92.0
22	151.5	245.0	105.0
23	151.5	8,820.0	106.8
24	150.0	8,820.0	106.8
25	1.5	8,820.0	106.8
26	1.5	2,156.0	107.9
27	116.5	245.0	134.5
28	0.2	245.0	39.7

#### 2.1 Formulation

Considering steady-state process and neglecting kinetic and potential energy, the first law of Thermodynamics for a control volume that involves the condensation-extraction steam turbine presented in Figure 1 can be written as <sup>[9]</sup>:

$$\dot{W}_{CV} = \dot{m}_{ST} h_{ST} - \dot{m}_{E_1} h_{E_1} - \dot{m}_{E_2} h_{E_2} - \dot{m}_{CO} h_{CO}$$
(1)

The equation for mass conservation in this control volume is given by:

$$\dot{m}_{ST} - \dot{m}_{E_1} - \dot{m}_{E_2} - \dot{m}_{CO} = 0 \tag{2}$$

In this case, the efficiency based on the first law of Thermodynamics can be defined as:

$$(3)$$

Note that this efficiency depends on the mass flow rate associated to the specific enthalpy of each output of the turbine, which for non-condensing fluid is related solely to the pressure and temperature.

The specific steam consumption in the turbine (RSTPO) can be defined as a relationship between the steam consumption and the electrical or mechanical power production, as follows:

$$\mathbf{RSIPO} = \frac{\dot{\mathbf{m}}}{\dot{\mathbf{W}}} \tag{4}$$

An alternative way for the thermodynamic analysis can be performed considering three independent control volumes, as three different turbines (Figure 3), with the same steam property values. Thus, it is possible to determine the specific steam consumption for each control volume or part of the turbine. The sum of the power generated by each control volume must be equal to the total power as if a single control volume involving the turbine had been considered.

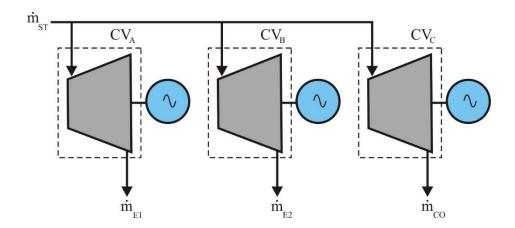


Figure 3. Control volumes for each extraction functioning sequentially.

The power generated in each control volume of Fig. 3 is again obtained by the application of the first law of the thermodynamics, resulting, respectively:

$$\dot{W}_{CV_{I}} = \dot{m}_{ST} \left( h_{ST} - h_{E1} \right) \tag{5}$$

$$\dot{W}_{CV_{rr}} = (\dot{m}_{ST} - \dot{m}_{E1})(h_{E1} - h_{E2}) \tag{6}$$

$$\dot{W}_{CV_{III}} = (\dot{m}_{ST} - \dot{m}_{E1} - \dot{m}_{E2})(h_{E2} - h_{CO})$$
(7)

In this case, the specific steam consumption for each control volume considered is given by:

$$RSTPO_{CV_{I}} = 1/(h_{ST} - h_{E1})$$
(8)

$$RSTPO_{CV_{II}} = 1/(h_{E1} - h_{E2})$$
 (9)

$$RSTPO_{CV_{III}} = 1/(h_{E2} - h_{CO})$$
 (10)

There is another alternative to perform a thermodynamic analysis of the condensation-extraction steam turbine. It is by considering that the steam admitted in each control volume of the turbine is driven to just one exit that controls the volume. Thus, it is possible to determine the specific consumption of steam in each point of extraction or condensation, considering separately each one of the control volumes presented in Figure 4.

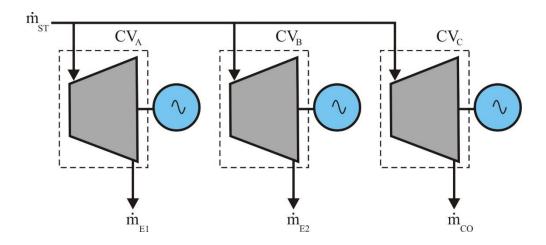


Figure 4. Control volumes for each extraction functioning simultaneously.

In this case (Figure 4), the specific steam consumption for each control volume considered is given, respectively, by:

$$RSTPO_{CV_A} = 1/(h_{ST} - h_{E1})$$

$$(11)$$

$$RSTPO_{CV_{R}} = 1/(h_{ST} - h_{E2})$$
 (12)

$$\mathbf{RSTR}$$

In order to obtain an energetic analysis of the plant presented in Figure 2, mass and energy balances should be performed, as well as properly defining the first thermodynamics law of efficiency, considering a control volume for each equipment, and the first law efficiency for the whole plant can be defined as a function of the mechanical and electrical power produced ( $\dot{W}_{mec}$  and  $\dot{W}_{ele}$ ) and consumed in pumping ( $\dot{W}_{pump}$ ), the useful heat transfer rate consumed in the processes of distillation and evaporation ( $\dot{Q}_{evap}$  and  $\dot{Q}_{dist}$ ) and lost in the condensation ( $\dot{Q}_{cond}$ ) in addition to taking into account the energy of the bagasse (LHV<sub>bag</sub>  $\dot{m}_{bag}$ ):

$$\eta_{global} = \frac{\dot{W}_{ele} + \dot{W}_{mec} + \dot{Q}_{evap} + \dot{Q}_{dest} - \dot{W}_{pump} - \dot{Q}_{cond}}{LHV_{hag} \dot{m}_{hag}}$$
(14)

After implementing the thermodynamic model for the whole plant, the resulting system of equations has been solved by means of EES® (Engineering Equation Solver) [10], adopting the temperature of 25 °C and pressure of 101.3 kPa as reference state, and considering LHV<sub>bag</sub> = 7,736 kJ/kg.

#### 3. Results and Discussions

Table 1 describes four cases selected for analysis (complemented by Table 5), based on the turbine restrictions. The first law efficiency, the power production and the specific steam consumption in the control volumes of the turbine depicted in Figure 3 are presented in Table 5 for each case.

		1	1	
Parameters	Case 1	Case 2	Case 3	Case 4
$\dot{m}_{ST}$ (t/h)	140.0	140.0	140.0	140.0
$\dot{m}_{E1}$ (t/h)	36.0	36.0	0.0	0.0
$\dot{m}_{E2}$ (t/h)	84.0	64.0	120.0	100.0
$\dot{m} = (t/h)$	20.0	40.0	20.0	40.0

Table 4. Definition of the cases selected according to Table 1 constraints.

Table 5. Efficiency, power production and specific steam consumption for each case of Table 4, based on the control volumes presented in Figure 3.

Parameters	Case 1	Case 2	Case 3	Case 4
η <sub>CV<sub>1</sub></sub> (%)	69.1	69.1	69.1	69.1
$\eta_{\text{CV}_{\text{II}}}$ (%)	92.2	92.2	95.1	95.1
$\eta_{\text{CV}_{\text{III}}}$ (%)	34.1	35.0	30.9	31.4
$\eta_{\text{CV}_{\text{Total}}}$ (%)	82.1	79.0	86.3	83.2
$\dot{W}_{CV_I}$ (kW)	6,681	6,681	6,681	6,681
$\dot{W}_{_{CV_{_{II}}}}$ (kW)	16,333	16,339	22,693	22,693
$\dot{W}_{CV_{III}}$ (kW)	993	1,805	892	1605
$\dot{W}_{\scriptscriptstyle \mathrm{CV}_{\scriptscriptstyle \mathrm{Total}}}$ (kW)	24,007	24,825	30,266	30,979
RSTPO <sub>CV1</sub> (kg/kWh)	21.0	21.0	21.0	21.0
RSTPO <sub>CV<sub>II</sub></sub> (kg/kWh)	6.4	6.4	6.2	6.2
RSTPO <sub>CVIII</sub> (kg/kWh)	20.1	22.2	22.4	24.9
RSTPO <sub>CV<sub>Total</sub></sub> (kg/kWh)	5.8	5.6	4.6	4.5

In all cases it can be noticed that the mass flow rate in the condenser is smaller than the second extraction flow rate. However, the efficiency for each control volume can better express the behavior of each part of the turbine while operating sequentially, such that the flow state at each exit is the same at the entrance for the next stage.

Taking as example Case 1, assuming that  $CV_{III}$  has the same mass flow rate of  $CV_{I}$  (140 t/h) and considering the efficiency obtained, the power generation in  $CV_{III}$  ( $\dot{W}_{CV_{III}}$ ) would be 6,951 kW, this is International Educative Research Foundation and Publisher © 2019

larger than the power generation in  $CV_I$  ( $\dot{W}_{CV_I}$ ).

Therefore, to compare the behavior of the control volumes, the specific consumption of steam in each one of them must be used, because for the same situation this parameter allows evaluating different conditions of mass flow, pressure and temperature of the steam. This does not stand with the power and the efficiency; because they depend on the mass flow rate and the enthalpy variation in the isentropic process.

In Table 5, the total values for efficiency, power and the specific consumption of steam, were defined considering only a control volume involving the turbine. The maximum total power generation occurs in Case 4, in which the extraction 1 is closed and the condensation rate is the maximum.

The control volumes  $CV_I$  and  $CV_{III}$  have larger specific steam consumption in function of the small enthalpy difference. On the other hand, the control volume  $CV_{II}$  has lower specific consumption. Although the control volumes  $CV_I$  and  $CV_{III}$  have almost the same specific steam consumption, they present a great difference in the efficiency; 69.1 % and 34.1 %, respectively. This occurs because the enthalpy difference for the isentropic process is different in these control volumes.

Table 6 shows the specific steam consumption for minimum and maximum mass flow rate in each control volume of the turbine shown in Figure 4, according to the data of Table 1.

Parameters	Minimum	Maximum
RSTPO <sub>CV<sub>A</sub></sub> (kg/kWh)	18.4	21.0
RSTPO <sub>CV<sub>B</sub></sub> (kg/kWh)	4.8	4.9
RSTPO <sub>CVc</sub> (kg/kWh)	3.9	4.0

Table 6. Specific steam consumption

Minimum and maximum mass flow rate in each control volume presented in Figure 4.

Note that the specific steam consumption (Table 6) can vary for a same control volume because the conditions of pressure and temperature change in function of the mass flow rate at each exit. Had the steam the same invariable conditions, the specific steam consumption in each control volume would have been the same, like in the back-pressure turbines which have only one steam exit.

It can be verified that the lower specific consumption of steam (3.9 kg/kWh) occurs when the steam is directed to the condenser. However, the condensate amount has influence on the efficiency and power generation, so that when there is an increase in the condensation rate, the power generation increases and there is a decrease in the efficiency.

Table 7 shows the power generation and the efficiency, considering the maximum admission of steam, for the condensation rate variation that is between 8 and 20 t/h. Note that the first extraction, for this situation, must be fully open due to the constructive restrictions presented in Table 1.

(t/h) $\dot{m}_{E1}$  (t/h)  $\dot{m}_{_{\rm F,2}}$ (t/h) $\dot{W}_{CV}$  (kW) (%) $\dot{m}_{co}$ η 96 23,437 8 36 84.8 12 36 92 23,636 83.8 16 88 82.9 36 23,827 20 36 84 24,012 82.1

Table 7. Power and efficiency as a function of condensation rate from 8 to 20 t/h.

As shown in Table 7, the power behavior is contrary to the efficiency with respect to the variation of the condensation rate, therefore, the point where the inversion in the turbine behavior occurs can be defined by means of a normalization of the values of efficiency and power. Thus, the maximum values of these parameters are 24,012 kW and 84.8 %, respectively. Then, the curves shown in Figure 5 are obtained and it can be observed that the curves intersection occurs in the point (12.9; 0.986). Thus, for a condensation rate smaller than 12.9 t/h the turbine is more efficient and when this rate is increased the power generation is improved.

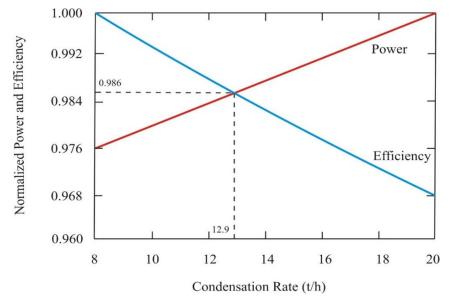


Figure 5. Normalized power and efficiency as a function of condensation rate from 8 to 20 t/h.

Table 8 shows the power generation and the efficiency considering the maximum admission of steam, for the variation of the condensation rate between 20 and 40 t/h. In this case the first extraction is closed, since only by means of the second extraction and condensation will the maximum extraction of the steam admitted (140 t/h) be possible.

Table 8. Power and efficiency as a function of condensation rate from 20 to 40 t/h.

	J		
$\dot{m}_{co}$ (t/h)	$\dot{m}_{E2}$ (t/h)	$\dot{W}_{cv}$ (KW)	η (%)
20	120	30,266	86.3
26	114	30,494	85.3
33	107	30,742	84.2
40	100	30,979	83.2

Normalizing the variables by the respective maximum values (30,979 kW and 86.3 %) the curves of Figure 6 are obtained, from which the intersection of the curves in the point (27.2; 0.986) is found. Thus, for condensation rates up to 27.2 t/h the turbine is more efficient and the power generation is improved when this rate is increased. In the following the Figure 2 depicts the analysis of the influences of the boiler efficiency, steam consumption in the processes and condensation rate on the power production and on the global efficiency of the plant.

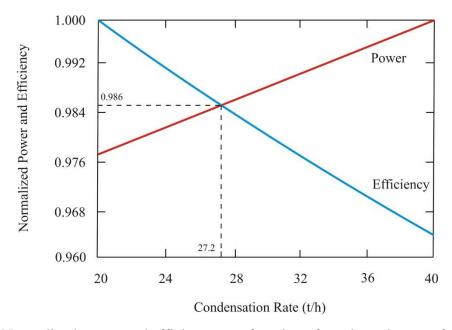


Figure 6. Normalized power and efficiency as a function of condensation rate from 20 to 40 t/h.

The first analysis takes into account the influence of the variation of the boiler efficiency between 71 and 87 %, representing a compatible range for modern high-pressure boilers which are much more efficient that the traditional low-pressure boilers. The results are shown in Figure 7 that illustrates that the global efficiency is directly proportional to the boiler efficiency, so that for each 1.3% of change in the boiler efficiency there is 1 % of change in the global efficiency.

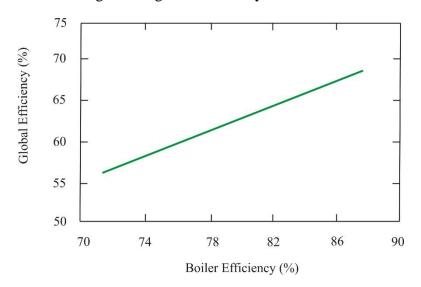


Figure. 7. Global efficiency of the plant as a function of the boiler efficiency.

The second analysis takes into account the influence of the change in the steam consumption in the processes from 400 to 515 kg/t of sugar cane that is the maximum value possible in function of the restrictions for steam production in the boiler and for the mass flow rate in the turbine. In this case, the total power and global efficiency of the plant can vary from 27,577 to 31,698 kW and from 54.5 to 76.4 %, respectively, Figure 8 shows the behavior of these parameters normalized by its maximum values (31,698 kW and 76.4 %, respectively) is depicted in Figure 8.

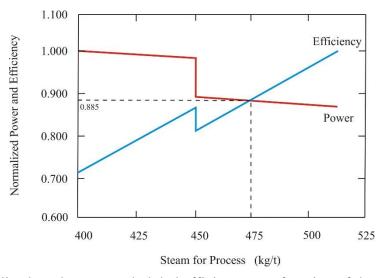


Figure 8. Normalized total power and global efficiency as a function of the steam consumption.

The first part of the curves in Figure 8, for specific steam consumption varying between 400 and 450 kg/t, are obtained when the turbine is operating without extraction 1, while the second part of these curves, for specific steam consumption greater than 450 kg/t, the extraction 1 is operating. The intersection point (475; 0.885) corresponds to the generation of 28,038 kW and an efficiency of 67.6 %. Thus, for specific steam consumption smaller than 475 kg/t, the plant is less efficient but produces more power, if compared with a situation in which the consumption is greater than 475 kg/t.

The third analysis takes into account the influence of the change in the condensation rate in the turbine from 8 to 40 t/h. In this case, the total power and global efficiency of the plant can vary from 27,627 to 31,939 kW and from 48.5 to 76.4 %, respectively, the behavior of these parameters normalized by its maximum values (31,939 kW and 76.4 %, respectively) can be studied in Figure 9.

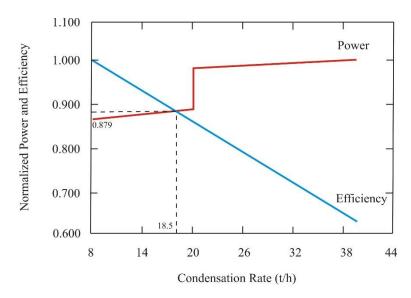


Figure 9. Normalized total power and global efficiency as a function of condensation rate.

The jump observed in the curve of the power generation for the condensation rate of 20 t/h is due to the extraction 1, which operates when the condensation is smaller than this value. For condensation rates greater than 20 t/h, the extraction 1 is closed and there is an increase in the enthalpy difference in the turbine and, consequently, an increase in the power generation. The point of intersection of the two curves shown in Figure 9 (18.5; 0.879), corresponding to the generation of 28,071 kW and an efficiency of 67.1 %, represents the point of inversion for the behavior of these parameters. For condensation rates smaller than 18.5 t/h, the plant operates with a larger global efficiency and, for condensation rates greater than 18.5 t/h, mainly over 20 t/h, the power generation is improved.

Note that the behavior in Figure 9 is the same presented in the previous figures in which only the turbine efficiency was considered, showing that when the condensation rate in the turbine increases there is a decrease in the global efficiency of the plant.

# 5. Conclusion, Heading Level-1.

In this work a detailed energetic analysis of the condensation-extraction steam turbine, used in a Brazilian sugar-alcohol factory, was carried out. The behavior of the global efficiency of the plant and of the power production was evaluated, as a function of some important parameters such as the boiler efficiency, the specific steam consumption in the processes and the condensation rate in the turbine. It was concluded that the efficiency of the turbine depends on the mass flow rate in each extraction, considering that the steam presents different properties in each one of them.

When the turbine is operating with extraction 1 open, the condensation rate of 12.9 t/h defines the point of inversion in the behavior of the turbine. When the objective is to prioritize the efficiency, a condensation rate smaller than 12.9 t/h must be selected. However, if the objective is to prioritize the power production, a condensation rate greater than this value must be chosen. For the operation with extraction 1 closed, the condensation of 27.2 t/h defines the point of inversion in the behavior of the turbine.

Note that all inversion points mentioned above are defined only from the point of view of the turbine

and not of the thermal power plant.

With respect to the behavior of the global plant in function of the condensation rate in the turbine, it was concluded that, if the goal is to maximize the efficiency of the plant, then a condensation rate smaller than 18.5 t/h must be used. However, if the goal is power production, a condensation rate greater than this value should be chosen. On the other hand, specific steam consumptions in the processes smaller than 475 kg/t will prioritize the power generation, while greater specific consumptions will prioritize the efficiency of the plant.

The efficiency of the condensation-extraction steam turbine contributed to increase the power production; although the condensation reduced the global efficiency of the plant.

Finally, it has been observed that the plant efficiency is very sensitive to the condensation rate variation, increasing with the demand of steam for processes.

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#### **Appendix**

Appendix 1- Latin Symbols

BO Boiler

BP Back-pressure

CO Condenser

DE Deaerator

EC Extraction-condensation

EV Evaporator

h Specific enthalpy (kJ/kg)

HE Heat exchanger

G Electric generator

LHV Lower Heating Value (kJ/kg)

 $\dot{m}$  Mass flow rate (kg/s)

P Pressure (kPa) or Pump

Q Heat flow rate (kW)

RSTPO Ratio between steam consumption and power generated (kg/kWh)

ST Steam turbine

T Temperature (°C)

W Power (kW)

## Appendix 2-Greek Symbols

η First thermodynamics law efficiency (%)

Δh Enthalpy difference (kJ/kg)

#### Appendix 3- Subscripts

0 Reference state

1, 2, 3 Equipment number

# A, B, C Control volume index

bag Sugar cane bagasse

cond Condensation

CV Control volume

CO Condenser

dest Distillation

ele Electric

evap Evaporation

E1 Extraction 1

E2 Extraction 2

in Input

iso Isoentropic

I, II, III Control volume index

mec Mechanical

out Output

ST Steam turbine